



Metro

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Metropolitan Transportation Authority

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EXECUTIVE MANAGEMENT AND AUDIT COMMITTEE
July 16, 2009

SUBJECT: OFFICE OF INSPECTOR GENERAL (OIG) ACTIVITIES REPORT

ACTION: RECEIVE AND FILE

RECOMMENDATION

Receive and file this OIG Activities Report.

ISSUE

The OIG reports periodically on its activities. This report covers the 2nd Half of FY 2009.

DISCUSSION

1. During the 2nd Half of FY 2009, the OIG Audit Unit issued seven final audit reports, started seven audit projects, and issued three draft audit reports. The final audit reports issued are:

- Department Information Security (Report No. 08-AUD-15, February 24, 2009).

The audit found that Metro departments/business units had not implemented Metro's ITS policy requirements concerning information security. Metro departments/business units had not developed written operating procedures for information security. Written procedures would reduce Metro's vulnerability of unauthorized individuals gaining access to personal, confidential, and other sensitive information. In addition, departments had not validated and assessed business and information security risks, which were also required by Metro policy.

The audit report made 11 recommendations to strengthen departmental information security controls, which included actions to:

- Notify and reinforce to departments/business units the requirement in Metro's Information Technology Policy IT 1 that business units are required to (1) validate and assess the information security risk, and (2) implement written standard operating procedures.
- Provide additional direction and/or support to departments/business units on information security requirements.

